



Attorney's Docket No. 5489P010

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Wayne V. Sorin, et al.

Application No.: 10/040,526

Filed: December 28, 2001

For: ACOUSTO-OPTIC TUNABLE
APPARATUS HAVING A FIBER
BRAGG GRATING AND AN
OFFSET CORE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: Wong, Eric K.

Art Unit: 2874

I hereby certify that this correspondence is being deposited
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on October 6, 2003
Date of Deposit
Deborah A. McGovern
Name of Person Mailing Correspondence
DMCGOV
Signature
Date
10-6-03

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together
with copies of the documents cited on that form. It is respectfully requested that the
cited documents be considered and that the enclosed copy of Information Disclosure
Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and
a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure
Statement is not to be construed as a representation that a search has been made and is
not to be construed as an admission that the information cited in this statement is
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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being

10/10/2003 EAREGAY1 00000106 10040526

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Application No. : 10/040,526

- 1 -

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submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

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_____ A statement pursuant to 37 C.F.R. §1.97(e) or

 X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10-6-02



Thomas S. Ferrill
Reg. No. 42,532

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1030
(408) 720-8300

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/040,526
Filing Date	12/28/2001
First Named Inventor:	Wayne V. Sorin
Group Art Unit	2874
Examiner Name	Wong Eric K.
Attorney Docket Number	5489P010

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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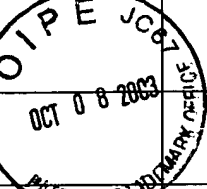
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Examiner Name	Wong, Eric K.
Attorney Docket Number	5489P010

(use as many sheets as necessary)

Sheet	2	of	2
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OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		PCT/US 02/41211, International Search Report, International Filing Date 12-20-2002, pp. 3 pgs total.	
		WEN-FUNG LIU et al., "Acoustic-induced switching of the reflection wavelength in a fiber Bragg grating", 2000 Optical Society of America, Optics Letters, Vol. 25, No. 18, September 15, 2000, pp. 1319-1321.	
		DING-WEI HUANG et al., "Reflectivity-Tunable Fiber Bragg Grating Reflectors", 2000 IEEE, IEEE Photonics Technology Letters, Vol. 12, No. 2, February 2000, pp. 176-178.	
		W.F. LIU et al., "100% Efficient Narrow-Band Acoustooptic Tunable Reflector Using Fiber Bragg Grating", 1998 IEEE, Journal of Lightwave Technology, Vol. 16, No. 11, November 1998, pp. 2006-2009.	
		W.F. LIU et al., "Acousto-optic superlattice modulator using a fiber Bragg grating", 1997 Optical Society of America, Optics Letters, Vol. 22, No. 19, October 1, 1997, pp. 1515-1517.	
		SEOK HYUN YUN et al., "All-fiber tunable filter and laser based on two-mode fiber", 1996 Optical Society of America, Optics Letters, Vol. 21, No. 1, January 1, 1996, pp. 27-29.	

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